

FOR UTILITY/DESIGN
CIP/PCT NATIONAL/PLANT
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PW
FORM

As a below named inventor, I hereby declare that my residential, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED PROCESS FOR FORMATION OF POLYHEDRAL OLIGOMERIC SILSESQUOXANES

the specification of which (CHECK applicable BOX(ES))
X ☐ A. ☐ is attached hereto.
BOX(ES) ☒ B. ☒ was filed on August 4, 2000 as U.S. Application No. 09/631,892
☒ C. ☐ was filed as PCT International Application No. PCT/ / on /
and (if applicable to U.S. or PCT application) was amended on /

I hereby state that I was inadvertently omitted as an inventor when the application was filed. This error occurred without deceptive intent.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

| PRIOR FOREIGN APPLICATION(S) | Date first Laid- | Date Patented | Priority NOT Claimed |
|------------------------------|-------------------|---------------|----------------------|
| Number | open or Published | or Granted | |

If more prior foreign applications, X box at bottom and continue on attached page.

Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

| PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S) | Status | Priority NOT Claimed |
|--|------------------------------|----------------------|
| Application No. (series code/serial no.) | pending, abandoned, patented | |
| 60/147,435 | Abandoned | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, 1600 Tysons Boulevard, McLean, VA 22102, telephone number (703) 905-2000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or a below attorney in writing to the contrary.

| | | | | | | | |
|-------------------|-------|--------------------|-------|-------------------|-------|-------------------|-------|
| Paul N. Kokulis | 16773 | G. Paul Edgell | 24238 | Jack S. Barufka | 37087 | Robert J. Walters | 40862 |
| G. Lloyd Knight | 17698 | David A. Jakopin | 32995 | Adam R. Hess | 41835 | Brian J. Beatus | 38825 |
| George M. Sirilla | 18221 | Mark G. Paulson | 30793 | William P. Atkins | 38821 | John Jobe | 28429 |
| Donald J. Bird | 25323 | Stephen C. Glazier | 31361 | Paul L. Sharer | 36004 | Mark C. Pickering | 36239 |
| Dale S. Lazar | 28872 | Richard H. Zaitlen | 27248 | Robin L. Teskin | 35030 | David H. Jaffer | 32243 |
| Glenn J. Perry | 28458 | Roger R. Wise | 31204 | Anthony L. Miele | 34393 | John R. Wetherell | 31678 |
| | | | | | | Steven Moore | 35959 |

(1) INVENTOR'S SIGNATURE:

Date: 4/29/2002

| | | | |
|--------------------|--|-------------|------------------------|
| Qibo | | Liu | |
| First | Middle Initial | Family Name | |
| Residence | Irvine | California | China |
| City | State/Foreign Country | | Country of Citizenship |
| Mailing Address | 10 Cobblestone Drive, Irvine, California | | |
| (include Zip Code) | 92612 | | |

"X" box ☐ FOR ADDITIONAL INVENTORS, and proceed on the attached page to list each additional inventor.
☐ See additional foreign priorities on attached page (incorporated herein by reference).

Atty. Dkt. No. P0282005

(M#)

RECEIVED

JUN 03 2002

TC 1700

COPY OF PAPERS
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



IN RE

☐ PATENT ☒ PATENT APPLICATION

OF INVENTOR(S): Lichtenhan, et al.

No. 09/631,892

GROUP ART UNIT: 1712

ISSUED:

EXAMINER:

Jeffrey Robertson

FILED: 08/04/2000

TITLE: Process For The Formation Of Polyhedral Oligomeric Silsesquioxanes

STATEMENT OF OWNER SHIP PER § 3.73

AND

CONSENT OF ASSIGNEE

Hon. Asst. Commissioner of Patents
Washington, D.C. 20231

Sir:

The undersigned, being the 100% assignee of record of all rights, title and interest in the subject case as evidenced by:

1. ☒ the chain of title from the inventor(s) to the undersigned as recorded on:

| | | | | | |
|---------|-------|--------|-------|---------|-------|
| 1. Date | _____ | , Reel | _____ | , Frame | _____ |
| 2. Date | _____ | , Reel | _____ | , Frame | _____ |
| 3. Date | _____ | , Reel | _____ | , Frame | _____ |

and/or as evidenced by:

2. ☒ the attached copy of an Assignment

a. ☐ unrecorded

b. ☒ filed on December 19, 2000 for recordation in the USPTO.

hereby consents to:

3. ☐ the reissue of the subject patent and offers to surrender the original patent.

4. ☒ changing the statement of inventorship of the subject case by

a. ☒ addition ☐ deletion of the following inventor(s)

LIST

| | |
|-------------|----------|
| 1. Qibo Liu | 4. _____ |
| 2. _____ | 5. _____ |
| 3. _____ | 6. _____ |

The undersigned person hereby states that he is empowered to sign this document on behalf of assignee.

Hybrid Plastics LLC

Assignee

By

Name: Joseph D. Lichtenhan

Title: President

Date

29 April 02

COPY OFF
ORIGINAL

RECEIVED
JUN 03 2002
TC 1700

Please return recorded to:
David H. Jaffer
Pillsbury Madison & Sutro LLP
2550 Hanover Street
Palo Alto, CA 94304-1115

Atty Dkt : 38559-257945

Client Ref: 6565-03

ASSIGNMENT
of U.S. Origin Patent Application

WHEREAS, the undersigned, to wit: (1) Joseph D. Lichtenhan; (2) Joseph J. Schwab; (3) Yi-Zong An; (4) William Reinert; (5) Michael J. Carr; (6) Frank J. Feher; and (7) Raquel Terroba, (hereinafter collectively ASSIGNOR), have made an invention entitled **PROCESS FOR THE FORMATION OF POLYHEDRAL OLIGOMERIC SILSESQUOXANES**, for which (1) an application for Letters Patent of the United States was filed in the United States Patent and Trademark Office on August 4, 2000, under Serial No. _____, and (2) an international application under the Patent Cooperation Treaty was filed in the United States Receiving Office on August 3, 2000, under International Application No. _____, both of which claim priority from U.S. Provisional Application Serial No. 60/147,435 filed on August 4, 1999; and

WHEREAS, Hybrid Plastics LLC, ASSIGNEE, a corporation duly organized and existing under the laws of the State of California and having a place of business at 18237 Mount Baldy Circle, Fountain Valley, California 92708-6117, desires to acquire an interest therein:

* * *

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said ASSIGNOR does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

The undersigned hereby authorize Pillsbury Madison & Sutro LLP, of the above address, to insert further identification necessary or desirable for recordation of this document.


Executed on the date below indicated.

Signature

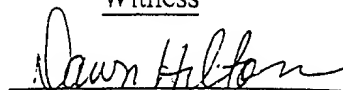
Date Signed

Witness

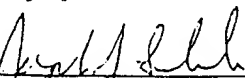
(1)


Joseph D. Lichtenhan

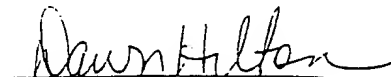
25 Aug 00


Dawn Hilton

(2)


Joseph J. Schwab

25 Aug 00


Dawn Hilton

Signature

Date Signed

Witness

(3) Yi Zong An

8-28-00

Dawn Hilton

(4) William Reinert
William Reinert

8-28-00

Dawn Hilton

(5) Michael J. Carr
Michael J. Carr

8-28-00

Dawn Hilton

(6) Frank J. Feher
Frank J. Feher

9-27-00

Dawn Hilton

(7) Raquel Terroba
Raquel Terroba

11-22-00

Philip Segre